

Part 2B – BROCHURE SUPPLEMENT FOR SUPERVISED PERSONS

Cover page for: Matthew Amberson
(CRD # 2443183)

OPTION RESEARCH & TECHNOLOGY SERVICES, LLC

An Illinois Limited Liability Company
36 Maplewood Ave.
Portsmouth, New Hampshire 03801
Tel: (312) 986-1060

February 25, 2025

This supplement provides information about Matthew Amberson that supplements Option Research & Technology Services, LLC’s brochure (our “Brochure”). You should have received a copy of our Brochure. Please contact Matthew Amberson at (312) 986-1060 if you did not receive our Brochure or if you have any questions about the contents of this supplement. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (the “SEC”) or by any state securities authority.

Additional information about Mr. Amberson is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience:

Matthew Amberson, born 1963.

Matthew Amberson is the Managing Member of Option Research & Technology Services, LLC (the "Firm"). The Firm was formed in Illinois in October, 2001.

Educational Background:

Mr. Amberson received a Bachelor of Science degree in Finance from Loyola Marymount University in 1986. He also received a Master's degree in Management from the Kellogg School of Business at Northwestern University in 1991.

Business Background:

Mr. Amberson has been the Principal of the Firm since 2001 where he has been engaged in all aspects of developing and growing the Firm's lines of business.

Item 3. Disciplinary Information:

Mr. Amberson has not been involved in any legal or disciplinary events material to a client's or prospective client's evaluation of Mr. Amberson.

Item 4. Other Business Activities:

Mr. Amberson is not currently engaged in any outside business activities.

- (A) Mr. Amberson is not actively engaged, nor does he have a pending application to register, as a broker-dealer, registered representative of a broker-dealer, a futures commission merchant ("FCM"), commodity pool operator ("CPO"), or commodity trading advisor ("CTA"), nor is Mr. Amberson an associated person of an FCM, CPO, or CTA.
- (B) Mr. Amberson is not actively engaged in any business or occupation for compensation not discussed in response to this Item 4 that provides a substantial source of Mr. Amberson's income or involves a substantial amount of Mr. Amberson's time.

Item 5. Additional Compensation:

Mr. Amberson does not receive, from any non-client, any economic benefit associated with advising clients (such as sales awards and prizes, any bonus that is based on number or amount of sales, client referrals or new accounts).

Item 6. Supervision:

Mr. Amberson is the sole owner of the Firm. As such, no other employee supervises or monitors his performance, activities or the advice he provides to clients. Mr. Amberson understands that he owes a fiduciary duty to clients and therefore must serve the interests of clients with a high standard of care and diligence in accordance with the Firm's internal policies and procedures. He recognizes that he must be particularly sensitive to situations in which the interests of a client may be in conflict, either directly or indirectly, with his own or those of the Firm. Mr. Amberson takes his compliance obligations seriously. He may consult with the Firm's external legal counsel or external compliance and operational support consultants (if any) as needed. He can be reached at (312) 986-1060.

Item 7. Requirements for State-Registered Advisers: N/A

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